



**INDIVIDUAL STATUS: US PERSON/NON-US PERSON**  
Form to identify those that are reportable under FATCA

**Customer Name** : ..... **Date** MM/DD/YYYY  
Last name, First name, Middle name

**Account Number(s)**: .....

**SECTION 1: Establishing your status as a U.S. Person or Non-U.S. Person**

1.	Are you or were you a US citizen or a US resident (green card holder) for tax purposes? (Including dual Nationality)	YES <input type="checkbox"/> NO <input type="checkbox"/>
2.	Were you born in the United States or a U.S. Territory? (US Territories include – Puerto Rico, Guam or US Virgin Islands)	YES <input type="checkbox"/> NO <input type="checkbox"/>
3.	Do you have a permanent or temporary place of residence in the U.S.?	YES <input type="checkbox"/> NO <input type="checkbox"/>
4.	Are you a holder of a US passport?	YES <input type="checkbox"/> NO <input type="checkbox"/>

If you answered ‘YES’ to any of the questions above please complete **SECTION 2**. However, if you answered ‘YES’ to any of the questions above but are no longer a U.S. citizen or permanent resident, please provide the following and complete **SECTION 3** of this form.

- a. an explanation regarding your renunciation of U.S Citizenship or reason citizenship was not obtained along with a copy of your Non-U.S. passport or ID and the appropriate IRS Forms (W-8 series form) completed and signed.

---

---

---

---

---

---

---

---

- b. a copy of your:
  - i. Certificate of Loss of Nationality of the U.S (if formerly a citizen)
  - ii. Form I – 407 (Abandonment of Lawful Permanent Residence Status)

5.	<b>Are you the holder of a valid U.S. visa?</b>	YES <input type="checkbox"/> NO <input type="checkbox"/>
If so, please indicate the type of visa, issuance and expiration date: <b>Type of VISA</b> ..... <b>Date of Issue:</b> MMDDYYYY <b>Date of Expiration:</b> MMDDYYYY		
6.	<b>Let's determine if you meet the substantial presence test! Please identify the number of days spent in the US for the years 2014, 2015 and 2016 below:</b>  2014:..... X 1/6 = ..... (A) Number of Days in the U.S  2015:..... X 1/3 = ..... (B) Number of Days in the U.S  2016:..... X 1 = ..... (C) Number of Days in the U.S  <div style="text-align: right;">TOTAL (A+B+C).....</div> <p>If the sum of A+B+C is equal to or greater than 183 days, then you are considered a United States resident for tax purposes (<b>please complete SECTION 2</b>) and should provide to the Bank a copy of the IRS Forms 8840 or 8833 once you would have filed either of these form with the IRS.</p>	
7.	<b>Are you a US Person for tax purposes for any other reason? If 'YES' please explain:</b>	YES <input type="checkbox"/> NO <input type="checkbox"/>

---



---



---



---



---

If you answered 'NO' to all the questions above and you do not meet the substantial presence test, then please complete **SECTION 3**. Depending on your answers provided in **SECTION 1** you may be considered a U.S. Person for tax purposes. The Bank cannot offer advice on your FATCA Tax status or classification. For more information on FATCA you can visit the IRS website at [www.irs.gov/FATCA](http://www.irs.gov/FATCA) or contact a professional tax advisor. If you are a U.S. Person, please complete **SECTION 2**.

.....  
Signature of Customer

.....  
Date